

Dinsmore Capital Management Co. Business Continuity Plan

Dinsmore Capital Management Co. (“DCM” or the “Firm”), has developed a business continuity plan outlining how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If after a significant business disruption you cannot contact us through normal channels, you should contact Mercedes Pierre, our Chief Compliance Officer, at mpierre@dinsmorecap.com or telephone us at (973) 631-1177.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the Firm’s books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit the Firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption. Our business continuity plan addresses: data back up and recovery for all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; regulatory reporting; and assuring our customers prompt communication regarding our alternate operations and that of DCM.

Varying Disruptions – Significant business disruptions can vary in their scope. For example they may affect only our Firm, a single building housing our Firm, the business district where our Firm is located, the city where our offices are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. If a disruption impacts our ability to access our office facilities, though all systems may be functioning, remote access to these facilities will be provided over the internet. If a disruption also renders the systems unusable, remote access to a systems recovery location will be provided over the internet. In the former scenario, we expect recovery and resumption of operations within hours; in the latter scenario recovery and resumption is expected within 48 hours. In either situation, we plan to continue operations and to communicate to you the method by which to contact us. If the significant business disruption is so severe that it prevents us from remaining in business, we will ensure that such information is promptly communicated with the necessary contact information for DCM personnel, as applicable.

For more information – If you have questions about our business continuity plan, you can contact us at (973) 631-1177 or Mercedes Pierre, our Chief Compliance Officer, at mpierre@dinsmorecap.com.